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Transmission to the U.S. PTO (7777-273-2885) on the date indicated below	
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/596,973	11/05/2007	Gene Rauden	1482-54/MR	3160

TITLE OF INVENTION: UNDERWATER OPTICAL COMMUNICATIONS SYSTEM AND METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	02/15/2011
EXAMINER		ART UNIT	CLASS-SUBCLASS			
PHAN, HANH		2613	398-104000			

- | | | |
|---|--|--|
| <p><input checked="" type="checkbox"/> Change of correspondence address or indication of "Fee Address" (37 CFR 1.3)</p> <p><input checked="" type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form (PTO/SB/12) attached).</p> <p><input checked="" type="checkbox"/> "Fee Address" Indication (or "Fee Address" Indication form (PTO/SB/47; Rev. 01-02 or more recent) attached. Use of a Customer Number is required.</p> | <p>2. For printing on the patent front page, list</p> <ol style="list-style-type: none"> (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered patent attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. | <p>1. Dimock Stratton, LLP (firm)
2. Mark Eisen
3. _____</p> |
|---|--|--|

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Penguin Automated Systems Inc.

Naughton, Ontario, Canada

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

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Issue Fee
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- a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Date December 9 201

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